

## Reporting Period: January – December 2017

### RTS 28 Publication Disclaimer

These MiFID ‘Top Five Broker’ reports have been published by Credit Suisse (Deutschland) AG (further referred to as “CS”) for the reporting period 1st January 2017 to 31st December 2017.

The reports have been prepared for the purposes of meeting CS’s obligations to publish yearly data relating to the Top Five Brokers used by the entity for transmitting client orders for all in scope MiFID II asset classes pursuant to the art. 65 of the Commission Delegated Regulation (EU) 2017/565 of 25 April 2016 on duties of investments firms carrying out portfolio management and reception and transmission of orders to act in the best interests of the client and Commission Delegated Regulation (EU) 2017/576 of 8 June 2016 supplementing Directive 2014/65/EU of the European Parliament and of the Council on markets in financial instruments with regard to regulatory technical standards for the annual publication by investment firms of information on the identity of execution venues and on the quality of execution (the “Regulation”).

CS has published these reports in accordance with its understanding of its regulatory obligations as applicable in its role as an investment firm providing the service of reception and transmission of orders (“RTO”). Fulfilment of the reporting obligation may be impacted by the availability of the complex data sets required by the Regulation. CS has published these reports on a best efforts basis and may republish as and when known data quality issues are resolved.

CS accepts no responsibility or liability for any loss or damage arising from the use of the information in the reports. Consumers of the reports should make their own evaluation as to the appropriateness or otherwise of use of such information in making investment decisions.